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Compliance Alert

Key Regulatory Deadlines Due This Week

9–15 Nov 2026

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Corporate

Central

Due Date	Compliance Activity	Applicable to	Act/ rules
Every Wednesday	**Furnish the Return on Defaulted Borrowers (RDB) for the preceding week on or before every Wednesday of the following week.**	All Banks	Reserve Bank of India (Commercial Banks-Supervisory Returns) Directions,2026
Every Wednesday	**Furnish the Return on Equity Investment in Capital Market for the preceding week on the DCS portal of RBI.**	Axis Bank, Bank of Baroda, Bank of India, Canara Bank, HDFC Bank, ICICI Bank, Indian Overseas Bank, J&K Bank, Punjab National Bank, State Bank of India and Union Bank of India.	Reserve Bank of India (Commercial Banks-Supervisory Returns) Directions,2026
Every Wednesday	**Furnish the Daily Liquidity Data return for the preceding week on or before every Wednesday of the following week.**	Every Public Sector Bank, select Foreign Bank and Small Finance Bank.	Reserve Bank of India (Commercial Banks-Supervisory Returns) Directions,2026
Every Wednesday	**Furnish Form DNBS09-CRILC Weekly (RDB Return) for the preceding week on every Wednesday of the following week.**	Every NBFC-UL (except CICs), NBFC-ML (except CICs) and NBFC-BL, including Investment and Credit Companies (except NBFCs not availing public funds and not having any customer interface), Micro-Finance Institutions (MFIs), and Factors having an asset size of ₹500 crore and above, at the individual or group level.	Reserve Bank of India (Non-Banking Financial Companies-Supervisory Returns) Directions, 2026

Corporate

Due Date	Compliance Activity	Applicable to	Act/ rules
14th November, 2026	**Electronically submit standalone and consolidated quarterly and year-to-date un-audited or audited financial results along with the Limited Review Report (or Audit Report) issued by the statutory auditors, statement of assets and liabilities, and statement of cash flows via the designated exchange portals (NSE NEAPS / BSE Listing Centre) for Quarter 2 (1st July 2026 to 30th September 2026). (Non-compliance attracts a statutory fine of ₹5,000 per day along with potential trading suspension and freezing of promoter demat accounts under SEBI SOP circulars).**	Every listed entity that has listed its specified securities (equity shares and convertible securities) on a recognized stock exchange (NSE / BSE / SME platforms). i. Standalone Financial Results: Mandatory for all listed entities. ii. Consolidated Financial Results: Additionally mandatory for every listed entity having one or more subsidiaries, joint ventures, or associate companies.	Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015
14th November, 2026	**E-file Audit Committee-reviewed Statement of Deviation/Variation (or "Nil" statement) for issue proceeds on NEAPS/BSE Centre (Late fee: ₹2,000/day).**	Listed entities raising funds via Public, Rights, Preferential issues, or QIPs until 100% utilization	SEBI (LODR) Regulations, 2015
15th November, 2026	**E-file the Return on Asset Liability and Off-Balance Sheet Exposures for October 2026 on the Centralised Information Management System portal.**	Scheduled Commercial Banks and Small Finance Banks	Reserve Bank of India (Commercial Banks – Supervisory Returns) Directions, 2026 read with Reserve Bank of India (Small Finance Banks – Supervisory Returns) Directions, 2026
15th November, 2026	**E-file the Liquidity Return on the Centralised Information Management System portal.**	Scheduled Commercial Banks, Small Finance Banks, and Payments Banks	Reserve Bank of India (Commercial Banks – Supervisory Returns) Directions, 2026

Due Date	Compliance Activity	Applicable to	Act/ rules
15th November, 2026	**E-file the Return on Interest Rate Sensitivity (Traditional Gap Analysis and Duration Gap Analysis) for October 2026 on the Centralised Information Management System portal.**	Scheduled Commercial Banks, Small Finance Banks, and Payments Banks	Reserve Bank of India (Commercial Banks – Supervisory Returns) Directions, 2026
15th November, 2026	**E-file the Central Repository of Information on Large Credits Main Return for October 2026 on the Centralised Information Management System portal.**	Scheduled Commercial Banks, Small Finance Banks, and Local Area Banks (reporting aggregate exposures of ₹5 Crore and above)	Reserve Bank of India (Commercial Banks – Supervisory Returns) Directions, 2026 read with Reserve Bank of India (Small Finance Banks – Supervisory Returns) Directions, 2026
15th November, 2026	**E-file the monthly Basel Liquidity Returns for October 2026 on the Centralised Information Management System portal.**	Scheduled Commercial Banks and Small Finance Banks	Reserve Bank of India (Commercial Banks – Supervisory Returns) Directions, 2026 read with Reserve Bank of India (Small Finance Banks – Supervisory Returns) Directions, 2026
15th November, 2026	**E-file the Monthly Return on Stressed Micro, Small and Medium Enterprises Subordinate Debt Scheme (or Nil declaration) for October 2026 on the Centralised Information Management System portal.**	Scheduled Commercial Banks and Small Finance Banks with Credit Guarantee Scheme for Subordinate Debt portfolios	Reserve Bank of India (Commercial Banks – Supervisory Returns) Directions, 2026 read with Reserve Bank of India (Small Finance Banks – Supervisory Returns) Directions, 2026
15th November, 2026	**E-file the Monthly Return on Digital Banking Units for October 2026 on the Centralised Information Management System portal.**	Scheduled Commercial Banks, Small Finance Banks, and Local Area Banks operating active Digital Banking Units	Reserve Bank of India (Commercial Banks – Supervisory Returns) Directions, 2026 read with Reserve Bank of India (Small Finance Banks – Supervisory Returns) Directions, 2026

Due Date	Compliance Activity	Applicable to	Act/ rules
15th November, 2026	**E-file Form B (Statement of External Liabilities and Core Assets) for the fortnight ending the last Friday of October 2026 on the Centralised Information Management System portal.**	All Scheduled Primary (Urban) Co-operative Banks	Reserve Bank of India (Urban Co-operative Banks – Supervisory Returns) Directions, 2026 read with the Reserve Bank of India Act, 1934
15th November, 2026	**E-file Form I (Statement on Compliances with Cash Reserve Ratio and Statutory Liquidity Ratio) for October 2026 on the Centralised Information Management System portal.**	All Scheduled Primary (Urban) Co-operative Banks	Reserve Bank of India (Urban Co-operative Banks – Supervisory Returns) Directions, 2026 read with Section 42 of the Reserve Bank of India Act, 1934
15th November, 2026	**E-file Form IX (Statement of Assets and Liabilities) for the reference date of 30th October 2026 on the Centralised Information Management System portal.**	All Scheduled Primary (Urban) Co-operative Banks	Reserve Bank of India (Urban Co-operative Banks – Supervisory Returns) Directions, 2026
15th November, 2026	**E-file the ALM 1 (Statement of Interest Rate Sensitivity) return for the reference date of 30th October 2026 on the Centralised Information Management System portal.**	All Scheduled Primary (Urban) Co-operative Banks	Reserve Bank of India (Urban Co-operative Banks – Supervisory Returns) Directions, 2026
15th November, 2026	**E-file the monthly return in Form DNBS04B (Structural Liquidity and Interest Rate Sensitivity) for October 2026 on the Centralised Information Management System portal.**	Non-Banking Financial Companies in the Upper Layer and Middle Layer (except Standalone Primary Dealers), and Base Layer entities (Investment and Credit Companies, Micro-Finance Institutions, Factors) with asset size of ₹100 Crore and above	Reserve Bank of India (Non-Banking Financial Companies – Supervisory Returns) Directions, 2026

Due Date	Compliance Activity	Applicable to	Act/ rules
15th November, 2026	**E-file monthly return in Form DNBS08 (Central Repository of Information on Large Credits Main Return) for October 2026 on the Centralised Information Management System portal.**	Non-Banking Financial Companies in the Upper Layer and Middle Layer, and Base Layer entities (Investment and Credit Companies, Micro-Finance Institutions, Factors with asset size of ₹100 Crore and above) having aggregate borrower exposures of ₹5 Crore and above	Reserve Bank of India (Non-Banking Financial Companies – Supervisory Returns) Directions, 2026
15th November, 2026	**E-file the Monthly Return on Stressed Micro, Small and Medium Enterprises Subordinate Debt Scheme (or Nil declaration) for October 2026 on the Centralised Information Management System portal.**	Scheduled Commercial Banks and Small Finance Banks with active Credit Guarantee Scheme for Subordinate Debt portfolios	Reserve Bank of India (Commercial Banks – Supervisory Returns) Directions, 2026 read with Reserve Bank of India (Small Finance Banks – Supervisory Returns) Directions, 2026
15th November, 2026	**E-file the Monthly Return on Digital Banking Units for October 2026 on the Centralised Information Management System portal.**	Scheduled Commercial Banks, Small Finance Banks, and Local Area Banks operating active Digital Banking Units	Reserve Bank of India (Commercial Banks – Supervisory Returns) Directions, 2026 read with Reserve Bank of India (Small Finance Banks – Supervisory Returns) Directions, 2026

Taxation

Central

Due Date	Compliance Activity	Applicable to	Act/ rules
14th November, 2026	**Furnish Form 132 (Tax Deducted at Source Certificate) to the payee for tax deducted on purchase of immovable property during September 2026 via the TRACES portal.**	Buyer (Transferee) purchasing immovable property (other than agricultural land) from a resident seller for a consideration or stamp duty value of ₹50 Lakhs and above	Income-tax Act, 2025 read with Rule 215 and Rule 219 of the Income-tax Rules, 2026
14th November, 2026	**Furnish Form 133 (Tax Deducted at Source Certificate) to the landlord for tax deducted on rent during September 2026 via the TRACES portal.**	Individuals and Hindu Undivided Families (not liable to mandatory tax audit) paying monthly rent exceeding ₹50,000 to a resident landlord	Income-tax Act, 2025 read with Rule 215 and Rule 219 of the Income-tax Rules, 2026
14th November, 2026	**Furnish Form 134 (Tax Deducted at Source Certificate) to the payee for tax deducted on contractual, professional, or brokerage payments during September 2026 via the TRACES portal.**	Individuals and Hindu Undivided Families (not liable to deduct tax under business audit provisions) paying an aggregate sum exceeding ₹50 Lakhs in a financial year to a resident contractor, professional, or agent	Income-tax Act, 2025 read with Rule 215 and Rule 219 of the Income-tax Rules, 2026
15th November, 2026	**Download and furnish Form 16A (Quarterly Tax Deducted at Source Certificate) to payees for tax deducted on non-salary payments during Quarter 2 (1st July 2026 to 30th September 2026) via the TRACES portal.**	All Deductors (Corporate and Non-Corporate with a valid Tax Deduction Account Number) deducting tax on non-salary payments	Income-tax Act, 2025 read with Rule 218(1)(b) of the Income-tax Rules, 2026

Taxation

Due Date	Compliance Activity	Applicable to	Act/ rules
15th November, 2026	**E-file the monthly Tax Deducted at Source and Tax Collected at Source Book Adjustment Statement for October 2026 via authorized digital nodes.**	Government Accounting Offices (Pay and Accounts Offices, District Treasury Offices, Cheque Drawing and Disbursing Offices) depositing tax via book adjustment	Income-tax Act, 2025 read with Rule 221 of the Income-tax Rules, 2026
15th November, 2026	**E-file the monthly statement of client code modifications executed during October 2026 via the Income Tax Department e-Filing portal.**	Recognized Stock Exchanges operating in India	Income-tax Act, 2025 read with Rule 4 of the Income-tax Rules, 2026
11th November, 2026	**E-file Form GSTR-1 (Return of Outward Supplies) for October 2026 on the Goods and Services Tax Common Portal.**	Regular registered taxpayers with aggregate annual turnover exceeding ₹5 Crores, and taxpayers up to ₹5 Crores opting out of the Quarterly Return Monthly Payment scheme	Central Goods and Services Tax Act, 2017 read with Rule 59(1) of the Central Goods and Services Tax Rules, 2017
13th November, 2026	**Upload details of outward Business-to-Business supplies using the Invoice Furnishing Facility for October 2026 on the Goods and Services Tax Common Portal.**	Registered taxpayers with aggregate turnover up to ₹5 Crores who have opted into the Quarterly Return Monthly Payment scheme	Central Goods and Services Tax Act, 2017 read with Rule 59(2) of the Central Goods and Services Tax Rules, 2017
13th November, 2026	**E-file Form GSTR-5 (Return for Non-Resident Taxable Persons) for October 2026 on the Goods and Services Tax Common Portal.**	Every registered Non-Resident Taxable Person supplying goods or services in India without a fixed place of business	Central Goods and Services Tax Act, 2017 read with Rule 63 of the Central Goods and Services Tax Rules, 2017

Due Date	Compliance Activity	Applicable to	Act/ rules
13th November, 2026	**E-file Form GSTR-6 (Return for Input Service Distributor, including Nil return) for October 2026 on the Goods and Services Tax Common Portal.**	Every registered Input Service Distributor distributing input tax credit to distinct operational or branch units under the same Permanent Account Number	Central Goods and Services Tax Act, 2017 read with Rule 65 of the Central Goods and Services Tax Rules, 2017
10th November, 2026	**E-file Form GSTR-7 (Return for Tax Deducted at Source) for October 2026 on the Goods and Services Tax Common Portal.**	Central and State Government departments, local authorities, governmental agencies, and notified public sector entities deducting tax on contracts exceeding ₹2.5 Lakhs	Central Goods and Services Tax Act, 2017 read with Rule 66(1) of the Central Goods and Services Tax Rules, 2017
10th November, 2026	**E-file Form GSTR-8 (Statement for Tax Collection at Source) for October 2026 on the Goods and Services Tax Common Portal.**	Every registered Electronic Commerce Operator liable to collect tax at source on net taxable supplies effected through its digital platform	Central Goods and Services Tax Act, 2017 read with Rule 67(1) of the Central Goods and Services Tax Rules, 2017

Kerela

Due Date	Compliance Activity	Applicable to	Act/ rules
10th November, 2026	**E-file monthly return in Form V (with electronic treasury remittance receipt, including Nil returns) for October 2026 on the Andhra Pradesh Commercial Taxes Department portal.**	All registered employers holding a Profession Tax Registration Certificate (PTRC) in Andhra Pradesh	Section 7 of the Andhra Pradesh Tax on Professions, Trades, Callings and Employments Act, 1987 read with Rule 12(1) of the Rules, 1987

Madhya Pradesh

Due Date	Compliance Activity	Applicable to	Act/ rules
10th November, 2026	**Deposit monthly profession tax remittance via electronic Treasury Challan for October 2026 on the Madhya Pradesh Commercial Tax Department portal. (Quarterly return in Form 7 due by 15th January, 2027).**	All registered employers holding a Profession Tax Registration Number (PRN) in Madhya Pradesh	Section 9 of the Madhya Pradesh Vritti Kar Adhiniyam, 1995 read with Rule 11 of the Madhya Pradesh Vritti Kar Niyam, 1995

Telangana

Due Date	Compliance Activity	Applicable to	Act/ rules
10th November, 2026	**E-file monthly return in Form V (with electronic treasury remittance receipt, including Nil returns) for October 2026 on the Telangana Commercial Taxes Department portal.**	All registered employers holding a Profession Tax Registration Certificate (PTRC) in Telangana	Section 7 of the Telangana Tax on Professions, Trades, Callings and Employments Act, 1987 read with Rule 12(1) of the Rules, 1987

Gujarat

Due Date	Compliance Activity	Applicable to	Act/ rules
15th November, 2026	**E-file monthly return in Form 5 (accompanied by electronic treasury e-challan remittance receipt, including Nil returns) for October 2026 on the Gujarat Commercial Tax portal.**	All registered employers holding a Profession Tax Registration Certificate (PTRC) in Gujarat	Gujarat State Tax on Professions, Trades, Callings and Employments Act, 1976 read with Rule 11(1) of the Gujarat State Tax on Professions, Trades, Callings and Employments Rules, 1976

Labour

Central

Due Date	Compliance Activity	Applicable to	Act/ rules
15th November, 2026	**E-file the monthly Electronic Challan-cum-Return (ECR) and remit employer/employee statutory contributions for October 2026 (computed on the enhanced ₹25,000 wage ceiling) via the EPFO Unified Employer Portal.**	All covered establishments employing 20 or more persons nationwide	Code on Social Security, 2020 (Sections 16 & 123) read with Rule 12 of the Code on Social Security (Central) Rules, 2026
15th November, 2026	**E-file monthly return data and remit unified Employees' State Insurance (ESI) contributions (3.25% Employer + 0.75% Employee) for October 2026 via the ESIC Employer Portal.**	All covered establishments employing 10 or more persons with eligible employees earning monthly gross wages up to ₹21,000 (or ₹25,000 for employees with disabilities)	Code on Social Security, 2020 (Sections 29 & 123) read with Rule 12 of the Code on Social Security (Central) Rules, 2026
15th November, 2026	**E-file the monthly Statement IW-1 (including Nil returns) reporting the joining or exit of International Workers for October 2026 via the EPFO Unified Employer Portal.**	All covered establishments employing International Workers (or registered establishments filing Nil returns)	Code on Social Security, 2020 (Sections 16 & 123) read with Rule 12 of the Code on Social Security (Central) Rules, 2026
11th November, 2026	**Complete online verification and confirmation of the automated Half-Yearly Contribution Reconciliation Statement for Contribution Period 1 (April to September 2026) via the Unified ESIC Employer Portal dashboard.**	All covered establishments employing 10 or more persons with eligible employees earning monthly gross wages up to ₹21,000 (or ₹25,000 for employees with disabilities)	Code on Social Security, 2020 (Sections 29 & 123) read with Rule 12 of the Code on Social Security (Central) Rules, 2026