

## Compliance Alert

# Key Regulatory Deadlines Due This Week

28 Sep– 4 Oct 2026



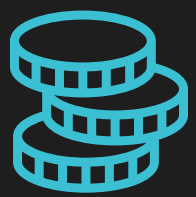
# Corporate

## Central

| Due Date        | Compliance Activity                                                                                                        | Applicable to                                                                                                                                                                                                                                                                                                             | Act/ rules                                                                                    |
|-----------------|----------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|
| Every Wednesday | Furnish the Return on Defaulted Borrowers (RDB) for the preceding week on or before every Wednesday of the following week. | All Banks                                                                                                                                                                                                                                                                                                                 | Reserve Bank of India (Commercial Banks– Supervisory Returns) Directions,2026                 |
| Every Wednesday | Furnish the Return on Equity Investment in Capital Market for the preceding week on the DCS portal of RBI.                 | Axis Bank, Bank of Baroda, Bank of India, Canara Bank, HDFC Bank, ICICI Bank, Indian Overseas Bank, J&K Bank, Punjab National Bank, State Bank of India and Union Bank of India.                                                                                                                                          | Reserve Bank of India (Commercial Banks– Supervisory Returns) Directions,2026                 |
| Every Wednesday | Furnish the Daily Liquidity Data return for the preceding week on or before every Wednesday of the following week.         | Every Public Sector Bank, select Foreign Bank and Small Finance Bank.                                                                                                                                                                                                                                                     | Reserve Bank of India (Commercial Banks– Supervisory Returns) Directions,2026                 |
| Every Wednesday | Furnish Form DNBS09– CRILC Weekly (RDB Return) for the preceding week on every Wednesday of the following week.            | Every NBFC–UL (except CICs), NBFC–ML (except CICs) and NBFC–BL, including Investment and Credit Companies (except NBFCs not availing public funds and not having any customer interface), Micro– Finance Institutions (MFIs), and Factors having an asset size of ₹500 crore and above, at the individual or group level. | Reserve Bank of India (Non–Banking Financial Companies– Supervisory Returns) Directions, 2026 |



| Due Date             | Compliance Activity                                                                                                                                                         | Applicable to                                                                              | Act/ rules          |
|----------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------|---------------------|
| 30th September, 2026 | Conclude the Annual General Meeting (AGM) for the financial year ended March 31, 2026, to adopt financial statements, declare dividends, and appoint directors or auditors. | Every company incorporated under the Companies Act, other than a One Person Company (OPC). | Companies Act, 2013 |



# Taxation

## Central

| Due Date             | Compliance Activity                                                                                                                                     | Applicable to                                                                                                                                                                                         | Act/ rules                                                                                                  |
|----------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|
| 30th September, 2026 | Furnish the Tax Audit Report along with the statement of particulars in Forms 3CA/3CB and 3CD for the Assessment Year 2026-27 (Financial Year 2025-26). | Every corporate and non-corporate corporate entity whose business turnover exceeds ₹1 crore (or up to ₹10 crores if cash transactions are within 5%) or professional gross receipts exceed ₹50 lakhs. | Section 44AB of the Income-tax Act, 1961 read with Rule 6G of the Income-tax Rules, 1962                    |
| 30th September, 2026 | Furnish Form 9A and Form 10 electronically to intimate the Assessing Officer regarding the option to defer or accumulate income for future utilization. | Every public charitable, religious trust, or institution registered under Section 12AB/12A.                                                                                                           | Section 11(1) and Section 11(2) of the Income-tax Act, 1961 read with Rule 17 of the Income-tax Rules, 1962 |