



RBI Mandate

STREAMLINING OF INTERNAL COMPLIANCE MONITORING FUNCTION – LEVERAGING USE OF TECHNOLOGY.

RBI has mandated that there is a need to implement a comprehensive, integrated, enterprise-wide and workflow-based solution to ease out manual intervention, and enhance the effectiveness of the Compliance function.





Regulatory Requirements

- Guidelines and regulations issued by the authorities like
- Reserve Bank of India (RBI)
- Ministry of Corporate Affairs (MCA)
- Securities and Exchange Board of India (SEBI).

Prudential Norms/Regulations

Are intended to reduce the risk that NBFC take on and to protect the interests of depositors and investors. Includes Minimum Capital Requirement, lending restrictions, leverage ratios, minimum capital adequacy requirements, Liquidity Risk Management Framework, Credit Rating, etc.

Fair Practice Code

The RBI has prescribed guidelines for the implementation of Fair Practice Code which covers various aspects of NBFC operations such as loan application, loan appraisal, loan disbursement and customer grievances redressal.

Others

- Governance Regulations
- Know Your Customers
- Due Dilligence
- Audits
- Financial Reporting
- Anti money laundering etc.

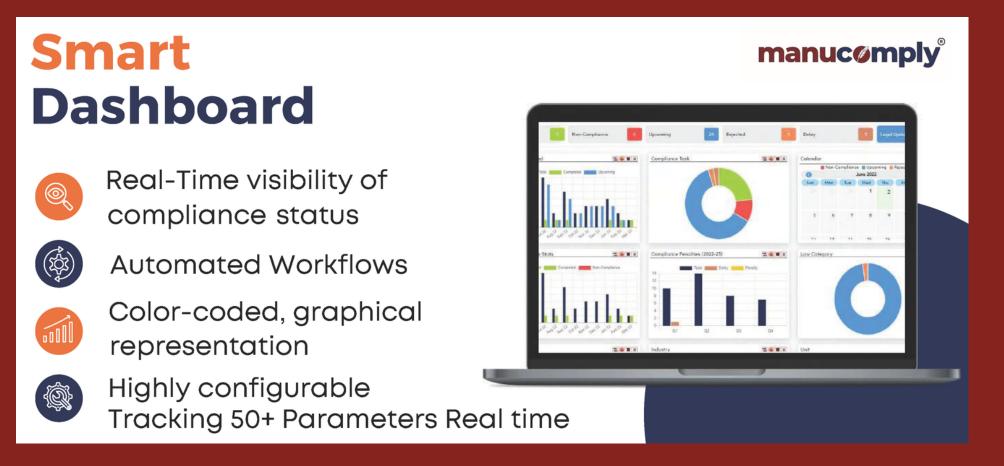
Feature prescribed by RBI

Unified dashboard view of compliance position of the RE as a whole for the Senior Management.

Manucomply Offers

RB

RB



Recording of the approval of competent authority for deviations or delay in compliance submission;

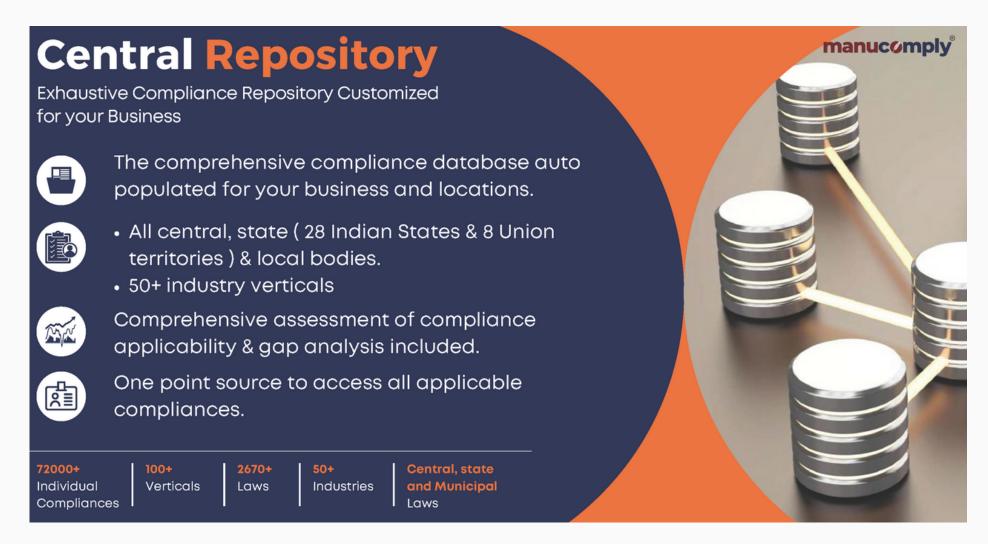
Document Management

- All documents related to statutory Compliances, policies, forms, applications, certificates, penalty communication, previous year returns, etc in one place.
- Supports multiple versions of a document.
- Secured with Encryption- AES Encryption.
- Tamper Proof- Does not allow deletion or modification of any uploaded document.



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B B Process of Identifying, assessing, monitoring and managing compliance requirements.



Escalation of issues of non-compliance

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Personalized Compliance Calendar & Triggers

- Easy-to-read timelines and calendars help you prepare for deadlines.
- Monthly/Quarterly/Yearly Compliance Calendar available.
- View Status with color codes by specific days, dates, and users.
- Integrate with your Google calendar.
- Automated triggers, reminders, notifications and escalations by email for upcoming compliances & regulatory changes.

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RBI

Effective communication and collaboration among all the stakeholders

Report Builder

With Advanced Analytics

01

Generate Customizable Reports & Create Certificates

02

Manually Select Your Required Parameters for Report, Using Simple Drag & Drop Feature

03

Generate Reports based on Units, States, Compliances, Users, Dates, months, years



04

Ability to Generate On-Demand Reports

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05

Auto Generated Compliance Certificates

06

Audit Trail - Detailed Audit logs & activity reports

Manucomply Offers

- Manage all types of Compliances Form, Event, Checklist, Dependency, Act and Regulatory Based
- Timely Legal Updates
- Reports and Analytics
- Penalty Tracking
- Inbuilt Document Management
- Work FLow Management

